

Express Mail Label No.: EU282823925US
Date of Deposit: May 6, 2002



PATENT APPLICATION
Attorney Docket No.: 21958-022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Mark LeVake et al.

SERIAL NO.: 10/059,939

FILING DATE: January 29, 2002

FOR: METHOD AND APPARATUS FOR CONDUCTING LIVE, POINT-OF-SALE, ELECTRONIC MONITORING AND TRANSACTION SERVICE

May 6, 2002
Boston, Massachusetts

RECEIVED

MAY 10 2002

Technology Center 2100

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- Information Disclosure Statement
- Modified Form 1449
- Return Postcard

RECEIVED

MAY 30 2002

Technology Center 2600

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/692-6803, New York, New York.

A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Brian P. Hopkins

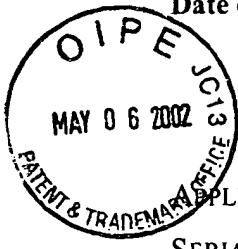
Brian P. Hopkins
Registration No. 42,669
Attorney for Applicants
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY AND POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241



30623

PATENT TRADEMARK OFFICE

6P-2164
7.10
RECEIVED
MAY 28 2002
Technology Center 2600



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: **Mark LeVake et al.**

SERIAL NUMBER: **10/059,939**

FILING DATE: **January 29, 2002**

FOR:

METHOD AND APPARATUS FOR CONDUCTING LIVE, POINT OF-SALE, ELECTRONIC MONITORING AND TRANSACTION SERVICES

EXAMINER: **Not yet assigned**

ART UNIT: **2161**

Box IDS
Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAY 28 2002
Technology Center 2100

RECEIVED
MAY 10 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

U.S. Patent Documents:

5,862,183	6,351,688 B1	5,541,925
6,181,981 B1	6,321,985 B1	6,152,365
6,056,194	4,412,292	4,780,806
6,088,730	5,359,182	5,732,346
2001/0049636 A1	2001/0056402 A1	2002/0025796 A1
6,061,557	5,619,024	4,570,228
4,650,978	5,208,446	5,408,513
5,532,689	5,572,004	6,339,731 B1
6,119,934	5,637,845	5,930,771
4,766,548	6,347,739 B1	6,119,934
5,619,024		

Foreign Patent Documents:

GB 2143981 A

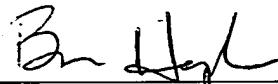
APPLICANTS: **Mark LeVake et al.**
U.S.S.N.: **10/059,939**

A copy of each of the above-identified documents is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21958-022.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669
Attorney for Applicants
c/o Mintz, Levin, Cohn Ferris,
Glovsky and PoPeo, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: May 6, 2002

Express Mail No. EU282823925US
Date of Deposit: May 6, 2002



Modified Form 1449/PTO		Application Number	10/059,939
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	January 29, 2002
(use as many sheets as necessary)		First Named Inventor	Mark LeVake et al.
		Group Art Unit	2661
		Examiner Name	Not yet assigned
		Attorney Docket Number	21958-022

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
		5,862,183	01/19/99	Lazaridis et al.	375	295	
		6,351,688 B1	02/26/02	Nichols et al.	700	241	
		5,541,,925	07/30/96	Pittenger et al.	700	94.1	
		6,181,981 B1	01/30/01	Varga et al.	700	236	
		6,321,985 B1	11/27/01	Kolls	235	381	
		6,152,365	11/28/00	Kolls	235	381	
		6,056,194	05/02/00	Kolls	235	381	
		4,412,292	10/25/83	Sedam et al.	364	479	
		4,780,806	10/25/88	Wada et al.	364	146	
		6,088,730	07/11/00	Kato et al.	709	227	
		5,359,182	10/25/94	Schilling	235	380	
		5,732,346	03/24/98	Lazaridis et al.	455	406	
		2001/0049636 A1	12/06/01	Hudda et al.	705	26	
		2001/0056402 A1	12/27/01	Ahuja et al.	705	43	
		2002/0025796 A1	02/28/02	Taylor et al.	455	406	
		6,061,557	05/09/00	Lazaridis et al.	455	406	
		5,619,024	04/08/97	Kolls	235	381	
		4,570,228	02/11/86	Ahlberg	364	467	
		4,650,978	03/17/87	Hudson et al.	235	380	
		5,208,446	05/04/93	Martinez	235	380	
		5,408,513	04/18/95	Busch, Jr. et al.	379	59	
		5,532,689	07/02/96	Bueno	340	928	
		5,572,004	11/05/96	Raimann	235	380	
		6,339,731 B1	01/15/02	Morris et al.	700	236	
		6,119,934	09/19/00	Kolls	235	381	
		5,637,845	06/10/97	Kolls	235	381	
		5,930,771	07/27/99	Stapp	705	28	
		4,766,548	08/23/88	Cedrone et al.	364	479	
		6,347,739 B1	02/19/02	Tamam	235	384	

RECEIVED
MAY 28 2002
Energy Center 2100
Date appropriate

May 28 2002
Technology Center 2100

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
		6,119,934	09/19/00	Kolls	235	381	
		5,619,024	04/08/97	Kolls	235	381	

FOREIGN PATENT DOCUMENTS

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
		GB 2143981 A	Keith Scott	02/20/85	x
					RECEIVED
					MAY 10 20
					Technology Cen

OTHER NON PATENT LITERATURE DOCUMENTS

RECEIVED

OTHER NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
		Technology Center 2100

MAY 10 2002

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, U.S.S.N. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

RECEIVED
MAY 28 2002
Technology Center 2600

RECEIVED
MAY 30 2002
Technology Center 2600